

# Exploring Persistent Identifiers for Open Time Series

Results from the joint  
**COOPEUS, ENVRI & EUDAT**  
workshop on „*persistent identifiers for open time  
series*“

hosted by COOPEUS  
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# Motivation

*“A major prerequisite for the proper use of persistent identifiers (PID) e.g. within data citations is the persistence of both, identifiers as well as the integrity of the associated data set.*

*This poses questions when PIDs are to be used for unfinished data sets or open time series data.*

*Such data is typically generated within research infrastructures (RI) during long lasting experiments such as satellite missions, environmental monitoring campaigns, or in permanent installations such as natural hazard detection and early warning systems.*

*Open time series data are often used in research during ongoing experiments and potentially published earlier than the underlying data set has been closed and is publicly released. “*

# Data citation

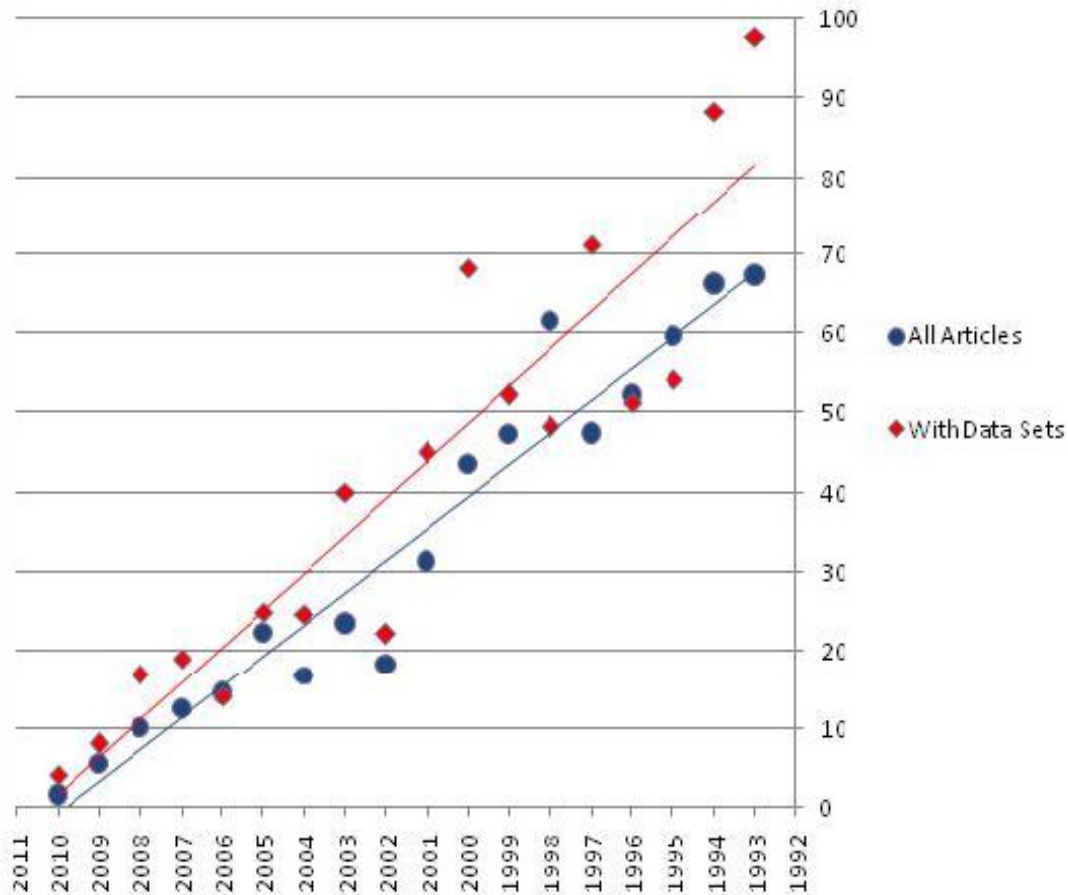
The screenshot shows a web browser window displaying a ScienceDirect article. The browser's address bar shows the URL: [www.sciencedirect.com/science/article/pii/S0377839807000679](http://www.sciencedirect.com/science/article/pii/S0377839807000679). The page header includes the ScienceDirect logo, navigation links (Hub, ScienceDirect, Scopus, Applications), and user information (Uwe Schindler, Logout, Go to SciVal Suite). Below the header is a green navigation bar with links for Home + Recent Actions, Browse, Search, My settings, and My alerts. A search bar is also present.

The main content area features the journal title "Marine Micropaleontology" (Volume 65, Issues 1–2, 29 October 2007, Pages 96–112) and the Elsevier logo. The article title is "Modern environmental conditions recorded in surface sediment samples off W and SW Indonesia: Planktonic foraminifera and biogenic compounds analyses". The authors listed are Mahyar Mohtadi<sup>a, b</sup>, Lars Max<sup>b</sup>, Dierk Hebbeln<sup>a, b</sup>, Anne Baumgart<sup>c</sup>, Nils Krück<sup>c</sup>, and Tim Jennerjahn<sup>c</sup>. The article's DOI is <http://dx.doi.org/10.1016/j.mamicro.2007.06.004>.

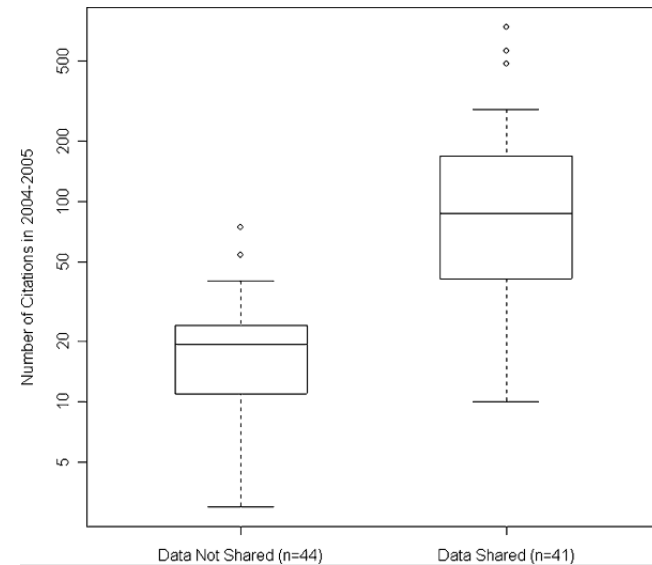
The abstract states: "A total of 69 surface sediment samples from several fore-arc basins located west and southwest of the Indonesian Archipelago was analyzed with respect to the faunal composition of planktonic foraminifera, the stable oxygen and carbon isotopic signal of a surface-dwelling (*Globigerinoides ruber*) and a thermocline-dwelling (*Neogloboquadrina dutertrei*) species, and the opal and CaCO<sub>3</sub> contents in bulk sediment. Our results show that the distribution pattern of opal in surface sediments corresponds well to the upwelling-induced chlorophyll concentration in the upper water column and thus, represents a reliable proxy for marine productivity in the coastal upwelling area off S and SW Indonesia. Present-day oceanography and marine productivity are also reflected in the tropical to subtropical and upwelling assemblages of planktonic

On the right side of the page, there is a "PANGAEA® - Related Data" section with a map of the study area in Southeast Asia, showing locations like Thailand, Vietnam, Philippines, Malaysia, and Indonesia. Below this is a "Related articles" section with several links to other publications.

# Benefits of data citation



courtesy of Jon Sears (AGU)



Piowar HA, Day RS, Fridsma DB (2007) Sharing Detailed Research Data Is Associated with Increased Citation Rate. PLoS ONE 2(3): e308. doi:10.1371/journal.pone.0000308

# Background

- ENVRI: EMSO/ARGO standardisation meeting: the ARGON – DOI problem
- Probably many other intra project meetings (e.g. EUDAT EPOS)
- COOPEUS, ENVRI and EUDAT ***strategic workshop on future harmonization of data sharing among Research Infrastructures*** (EGU 2013)
  - Identification of PIDs as a common challenge
  - White paper draft, RI case studies and strategies
- Joint COOPEUS, ENVRI and EUDAT ***workshop on persistent digital identifiers (PID) for open time series data***

# Workshop participants



# Topics, goals

- Research Infrastructure case studies: PID usage status quo and strategies
- Discuss best practices for PIDs for open time series
- The 10 golden rules for the selection and use of PIDs for open time series

# Case study: EMSO



- network of **fixed point, deep sea observatories**
- real-time, long-term monitoring of environmental processes
- multidisciplinary: geosphere, biosphere and hydrosphere



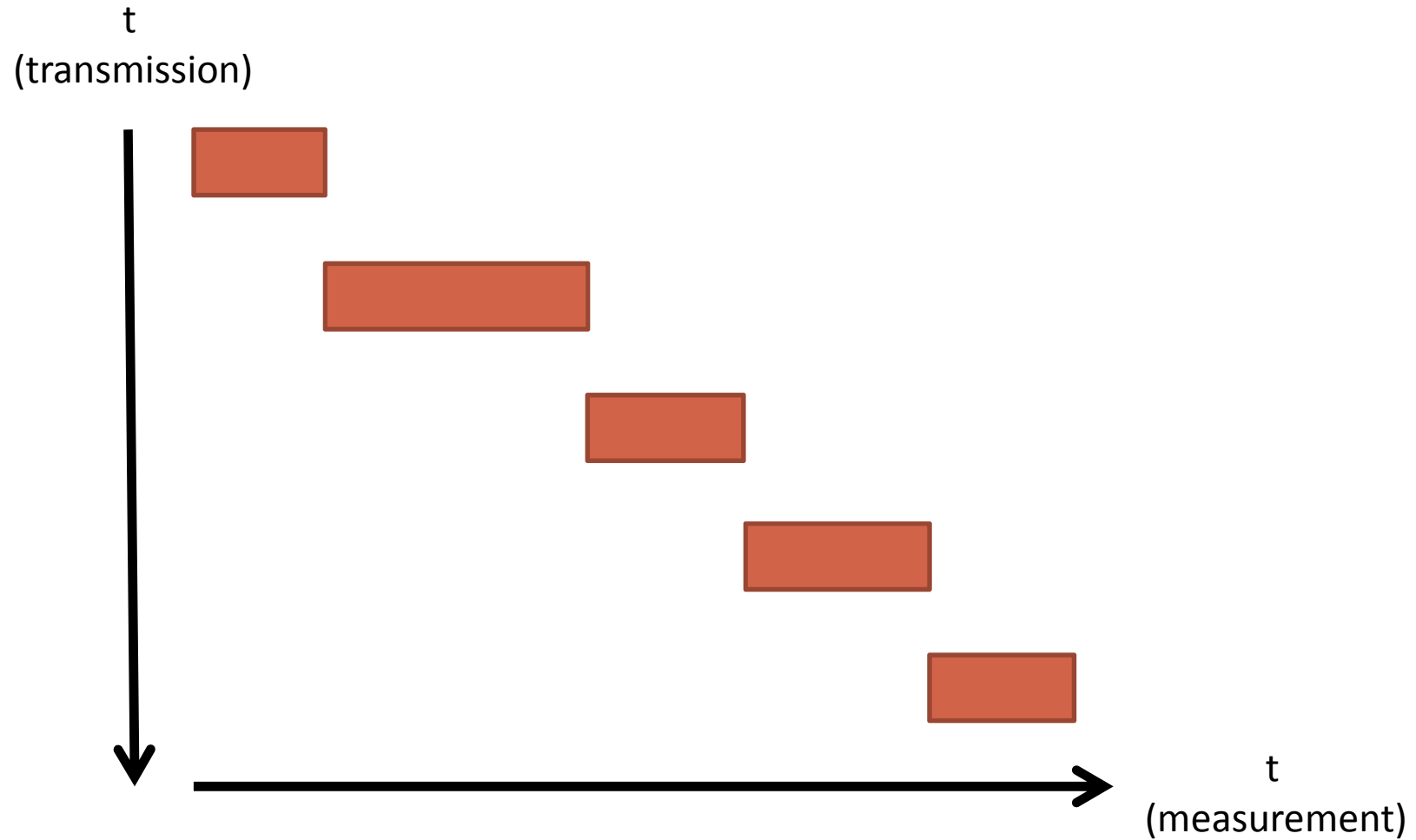
“The data is automatically uploaded [e.g. via OGC SOS] to the PANGAEA import queue and subsequently archived as raw data in a monthly interval.

However, there is a growing demand to accelerate both, citability and availability of open time series data. Therefore PANGAEA is also investigating additional strategies to handle such data.”





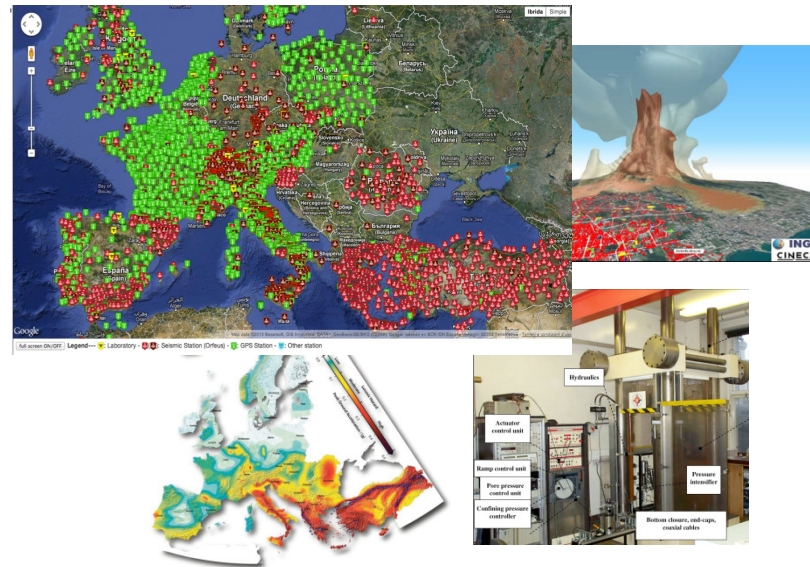
# EMSO case: growing dataset



# Case study: EPOS

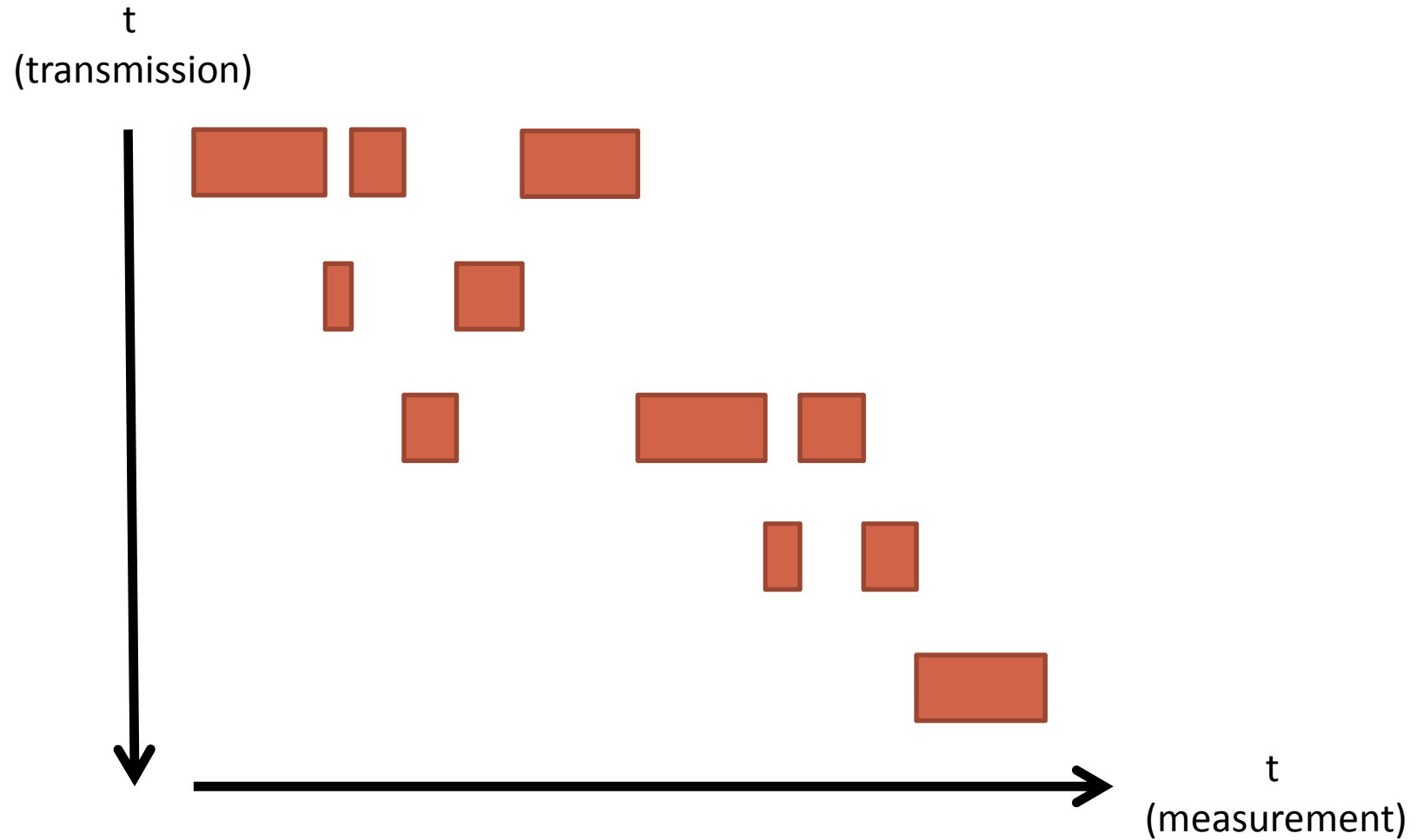


- geophysical monitoring networks
- local observatories (including permanent)
- in-situ and volcano observatories)
- experimental laboratories in Europe



*“One of the problems encountered by the community when seeking to uniquely identify the data digital objects is the incompleteness of the data acquired. This problem follows from data transmission from the remote station to the central data center and it consists of the presence of data gaps. These gaps are then filled in as the bandwidth of the transmission widens”*

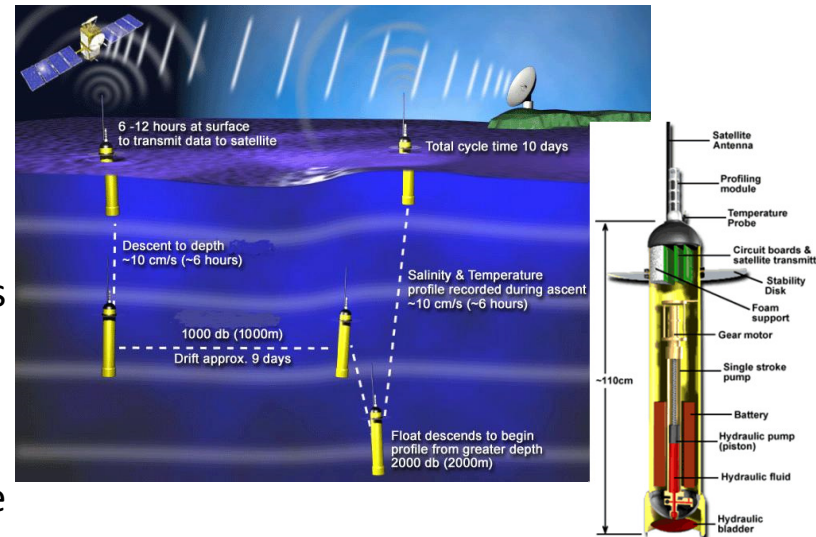
# EPOS case: fragmented dataset



# Case study: ARGO



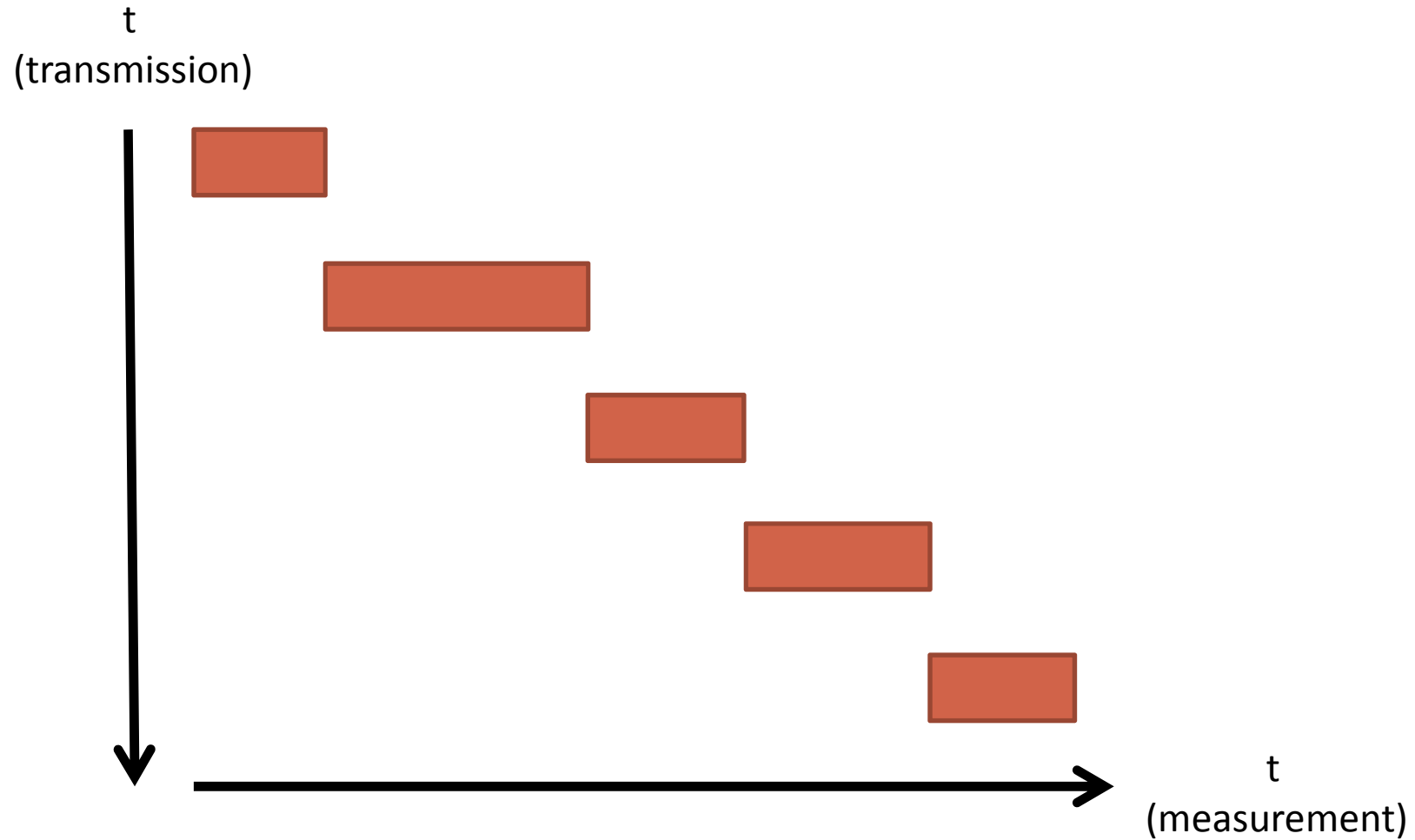
- European contribution to ARGO
- array of autonomous instruments (argo floats) deployed over the world ocean
- subsurface ocean properties: temperature and salinity over the upper 2000m of the ocean



*“[...] data from each profiler are reviewed and checked against climatological data and nearby Argo data from different profiler [...]. The complication in Argo is constant mutation of the data on GDACs\*. This is both through the temporal extension of the data when new profiles are collected and updates to existing data when delayed mode quality control is done.”*

\*Global Data Assembly Center

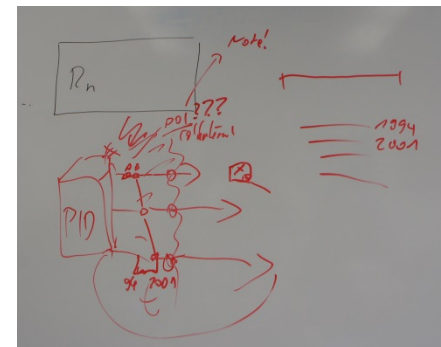
# ARGO case: mutating dataset



# Workshop results:

## Common requirements

- Existing technologies sufficient (handle, DOI, EPIC.. )
- ... given that some (additional) requirements are fulfilled
  - Fragmentation support
  - Integrity (e.g hash tag, but community specific )
  - Versioning support
  - Aggregation / Relation support
  - Notion of time as attribute



# Workshop results: The golden rules...

1. Persistence: Each datacenter must define a versioning and preservation strategy
2. PIDs must be persistent, even when datasets are deleted or changed
3. PIDs must be organized according to its use ... Publication vs. Data management
4. Time-fragmentation support (resolution).
5. Transparency: level of dynamicity in the data-set must be defined in PID.(e.g. **growing** ,evolving, **fragmented**)
6. Procedure for PID generation must be consistent, transparent , documented and financial affordable
7. PIDs should be assigned early as possible ...
8. Levels of granularities must be standardized within each scientific field
9. Data center must provide a citation template

# Workshop results:

## Metadata requirements

1. Level of dynamicity in the data-set.
2. Include timestamp to identify „*version*“ (identify time relative to changes to the dataset)
3. Fragment identification, relation
4. Content of request selection used (the query..)
5. Creation date of the whole timeseries (in addition to publication date)



# Workshop results:

## Citation rules:

<author> . (<release date range>): <dataset title>. [version: <version> | subset: <temporal range>]. <publisher>.[[<resource type (growing dataset , evolving dataset , fragmented dataset)>]]. <PID>@<fragment identifier>. [accessed: <access date>]

### Examples:

- Doe, J. **(2009-2011)**: *Dynamic Data Set Title*. version: 1.2. Responsible Data Archive. [**evolving dataset**]. PID:123456789@version=1.2
- Doe, J. **(2009-2011)**: *Dynamic Data Set Title*. subset: 2010-01-01 - 2010-12-13. Responsible Data Archive. [**growing dataset**]. PID:123456789@range=2010-01-01-2010-12-13
- Doe, J. **(2009-2011)**: *Dynamic Data Set Title*. version: 1.2. Responsible Data Archive. [**fragmented dataset**]. PID:123456789. accessed: 2012-12-01@version=1.2

# Future work

- White paper on PIDs for open time series
- Contribution to RDA working group on data citation (Ari)

Contact: [rhuber@uni-bremen.de](mailto:rhuber@uni-bremen.de)





Thank you..

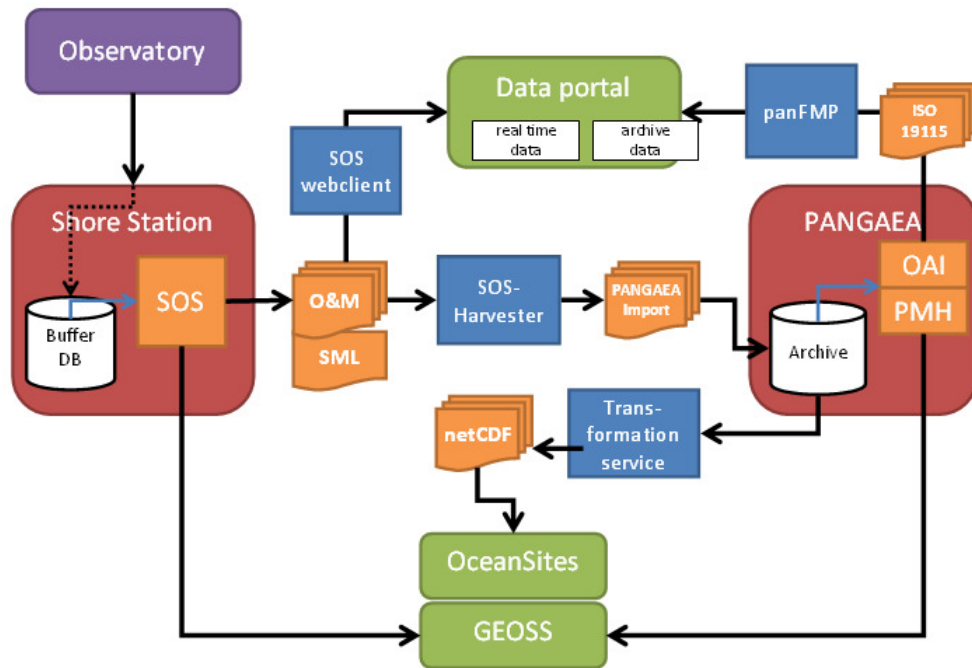


# Koljoefjord observatory



- operated by the University of Gothenburg (Per Hall et al.) & MARUM
- has been operating for about 2 years
- EMSO test site
- Cabled, multi-sensor underwater observation system Main node and land station connected to the Internet via 3G
- Real-time access and remote control
- Data archived in PANGAEA

# Koljoefjord II



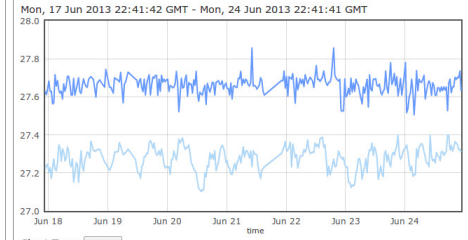
SOS client  
 EMSO SITES | DATA CATALOGUE

## SOS data: PANGAEA SOS

### Options:

- Y-axis: parameters
- Temperature [degC] at 4835-140 (15.00m)
  - AirSaturation [%] at 4835-140 (15.00m)
  - O2Concentration [uM] at 4835-140 (15.00m)
  - Temperature [degC] at 4319-200 (9.00m)
  - Conductivity [mS/cm] at 4319-200 (9.00m)
  - Temperature [degC] at 4835-29 (21.25m)
  - AirSaturation [%] at 4835-29 (21.25m)
  - O2Concentration [uM] at 4835-29 (21.25m)
  - Temperature [degC] at 4835-25 (12.00m)
  - AirSaturation [%] at 4835-25 (12.00m)
  - O2Concentration [uM] at 4835-25 (12.00m)
  - Conductivity [mS/cm] at 4319-240 (10.25m)
  - Temperature [degC] at 4420-98 (28.5m)
  - East [cm/s] at 4420-98 (28.5m)

### Chart: Koljoefjord SeaGuard



doi.pangaea.de/10.1594/PANGAEA.779644  
 PANGAEA®  
 Data Publisher for Earth & Environmental Science

**Data Description**  
 Citation: Hall, Per (2012): Koljoefjord cabled observatory RDCP data, Sweden (2012-03). Department of Chemistry, University of Gohenburg, Unpublished dataset #779644  
 Project(s): In situ monitoring of oxygen depletion in hypoxic ecosystems of coastal and open seas and land-locked water bodies (HPO2)   
 Coverage: Latitude: 58.228250 ° Longitude: 11.574000  
 DateTime Start: 2012-03-01T00:13:18 ° DateTime End: 2012-03-31T23:43:17  
 Minimum DEPTH, water: 5.0 m ° Maximum DEPTH, water: 35.0 m  
 Event(s): KOLJOEFJORD\_RDCP ° Latitude: 58.228250 ° Longitude: 11.574000 ° Elevation: -45.0 m ° Device: Recording Doppler Current Profiler 800

| Parameter(s) | Name                         | Short Name | Unit        | Principal Investigator | Method | Comment |
|--------------|------------------------------|------------|-------------|------------------------|--------|---------|
| 1            | DATE/TIME                    |            |             |                        |        | Obscode |
| 2            | Pitch                        |            | deg         | Hall, Per              |        |         |
| 3            | Roll angle                   |            | deg         | Hall, Per              |        |         |
| 4            | Heading                      |            | deg         | Hall, Per              |        |         |
| 5            | Temperature, water           | Temp       | °C          | Hall, Per              |        |         |
| 6            | Conductivity                 | Cond       | sdS/cm      | Hall, Per              |        |         |
| 7            | Pressure, water              | Press      | hPa         | Hall, Per              |        |         |
| 8            | Oxygen                       | O2         | µmol/l      | Hall, Per              |        |         |
| 9            | Salinity                     | Sal        |             | Hall, Per              |        |         |
| 10           | Battery terminal voltage     | Vbat       | V           | Hall, Per              |        |         |
| 11           | DEPTH, water                 |            | Depth water |                        |        | Obscode |
| 12           | Current velocity, horizontal | CV hor     | cm/s        | Hall, Per              |        |         |
| 13           | Direction                    |            | deg         | Hall, Per              |        |         |
| 14           | Current velocity, vertical   | CV vert    | cm/s        | Hall, Per              |        |         |
| 15           | Signal strength              | Sig str    | dB          | Hall, Per              |        |         |
| 16           | Standard deviation           | Std dev    |             | Hall, Per              |        |         |

Size: 168428 data points  
 Download Data (login required)  
 Download dataset as tab-delimited text (use the following character encoding: ISO-8859-1: ISO Western (PANGAEA default))  
 View dataset as HTML (shows only first 2000 rows)



# Koljoefjord III



SOS = Sensor Observation Service  
O&M = Observations and Measurements  
OAI-PMH = Open Archives Initiative – Protocol for Metadata Harvesting

## Automatic workflow:

- Data parsers are waiting for data to be pushed by the instruments (cache)
- SOS client will connect to SOS and request data periodically (GetObservation)
- SOS client will generate import files from retrieved data
- Import files are used to persistently store data in PANGAEA
- ---> Standardised workflow
- ---> Interoperability achieved by implementing OGC standards
- ---> Data providers do not have to worry about submitting their data

# Koljoefjord PIDs

**Task: decide how to archive open time series data and make it citable by assigning unique PID**

- Possible strategies:
  - one open dataset that gets constantly filled up, identifiable by one DOI
  - or: split up data into parcels of defined temporal granularity, assign DOI to each parcel
- Decision: monthly datasets, because..
  - It suited the data owners
  - Possibility to compare observatory data to data collected monthly in the same area by the Swedish Hydrological Institute (quality checks!)
  - monthly datasets easier to handle than very large monolithic datasets
- But what about would a monthly opendata set?
  - See PID workshop requirements
  - Add parameters to DOIs?



Thank you..





# Case study results: PID assignment strategies

- Placeholder strategies:
  - PID on abstract or initial data set (e.g. initially empty)
  - PID on delegate document (e.g. data QC handbooks, readmes)
  - PID on data product (e.g. images)
- Versioning strategies:
  - New version after reprocessing
  - New version after update
- Fragmenting strategies:
  - Define appropriate subsets (e.g. monthly)